

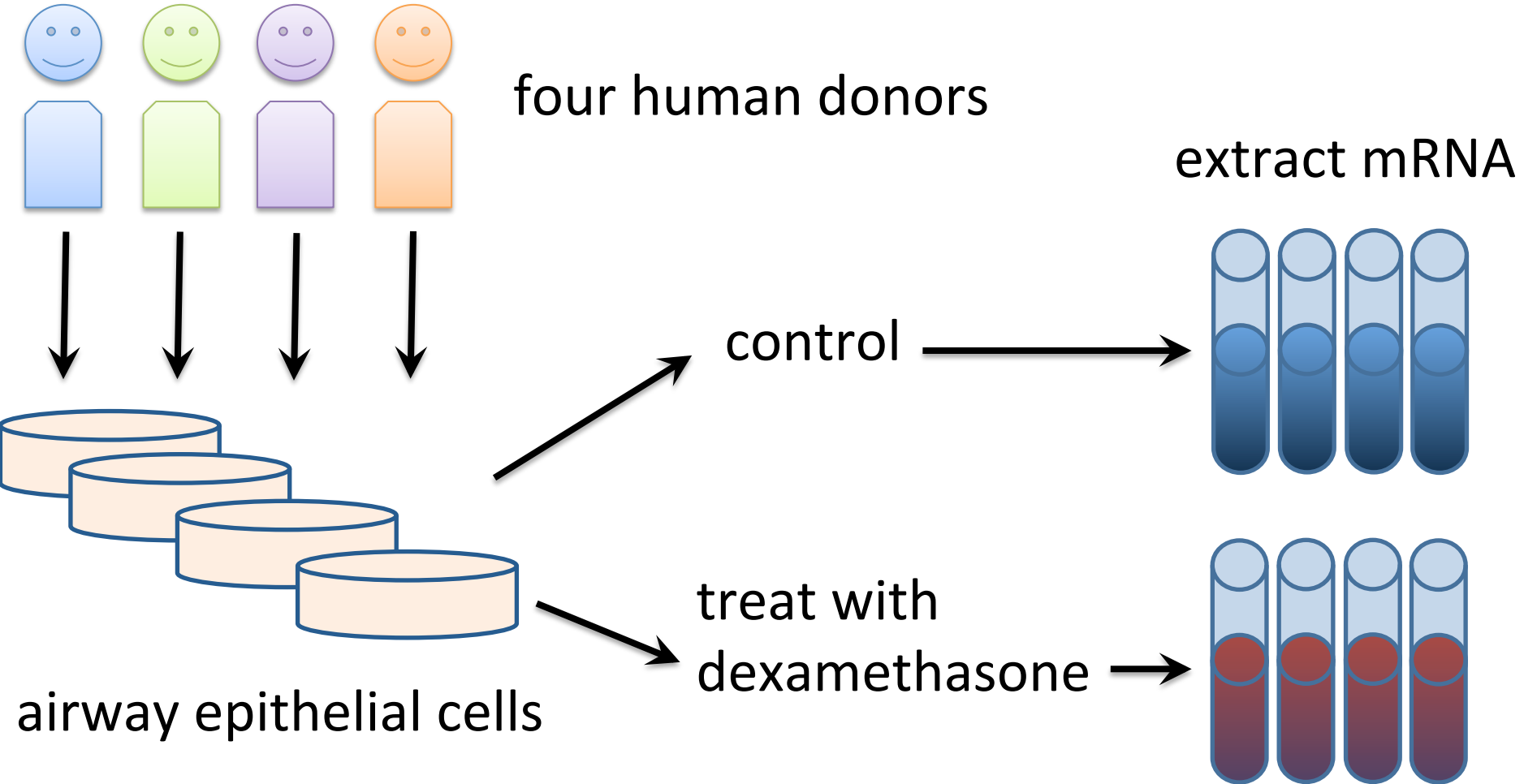
# RNA-seq data analysis and differential expression

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UNC Chapel Hill

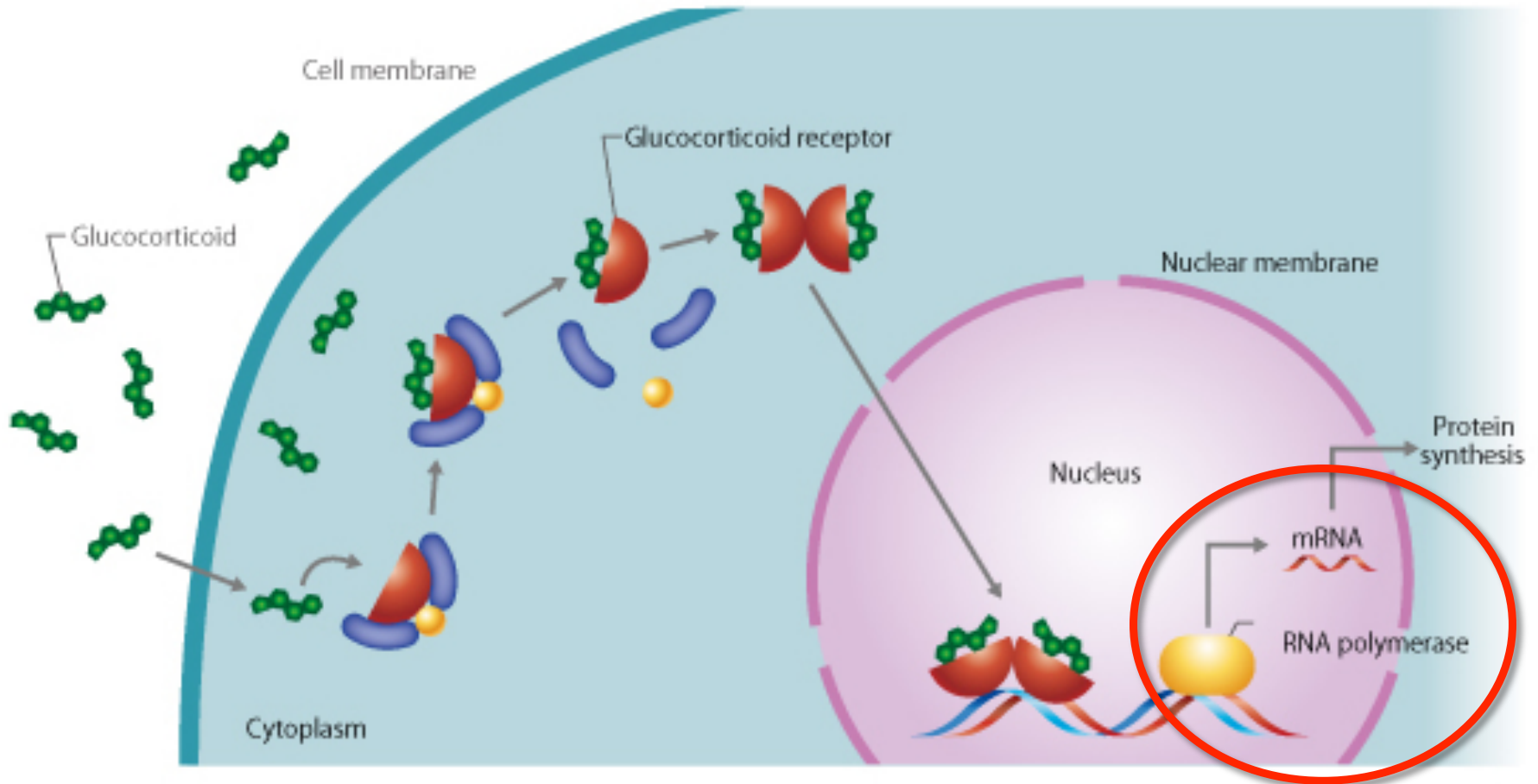
# Outline

1. Example RNA-seq experiment
2. Statistical analysis of RNA-seq counts
3. Theory of shrinkage estimation
4. Testing steps & statistical power

# Our goal: what is airway transcriptome response to glucocorticoid hormone?



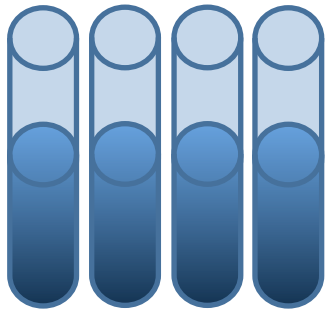
# Glucocorticoid mechanism of action



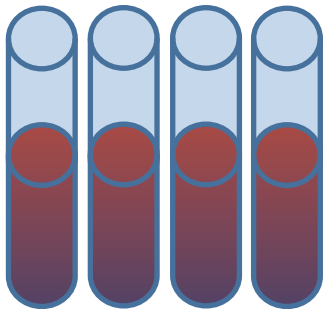
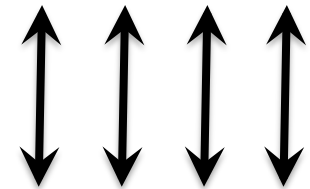
(C) CSLS / University of Tokyo <http://csls-text3.c.u-tokyo.ac.jp/>

# Compare gene expression across treatment, within cell line

cDNA libraries



control



treated with  
dexamethasone

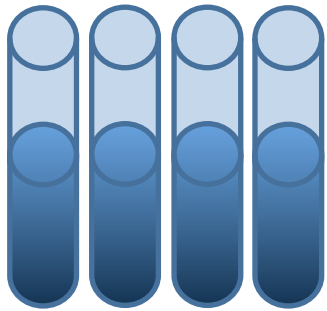
✓ Visualize differences  
between samples

✓ Test for differences in  
gene expression,  
one gene at a time

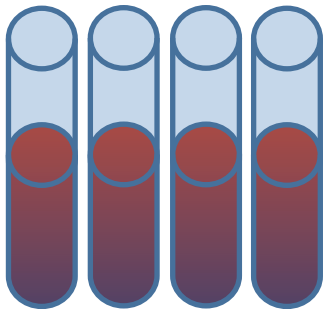
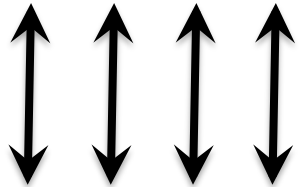
✓ Visualize differences  
across all genes

# Compare gene expression across treatment, within cell line

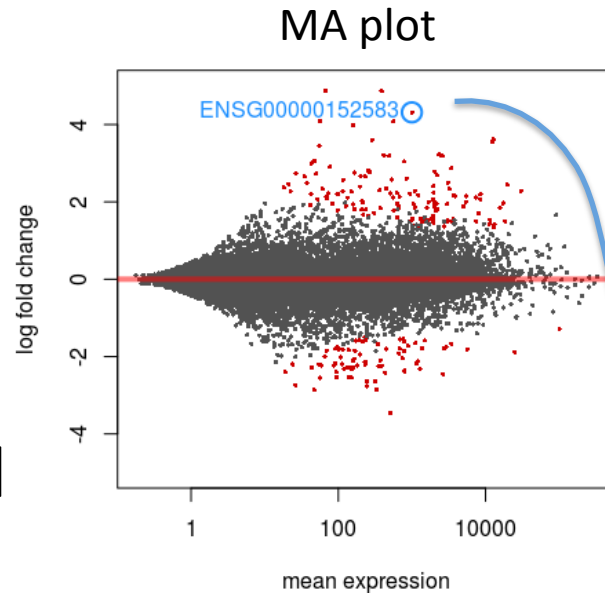
cDNA libraries



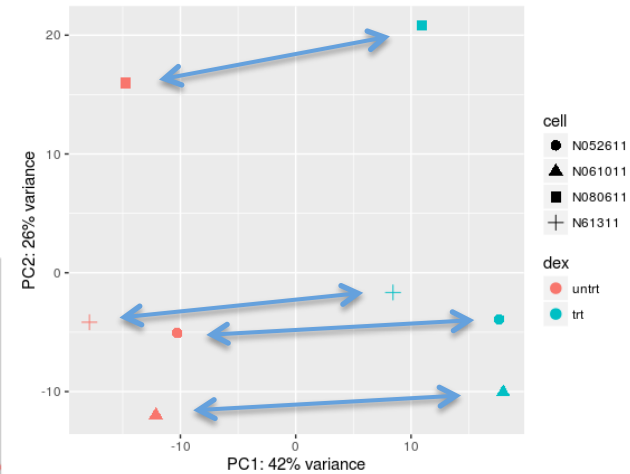
control



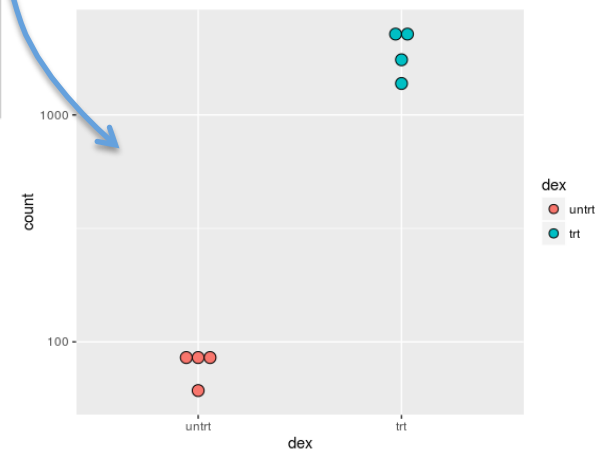
treated with dex.



PCA plot

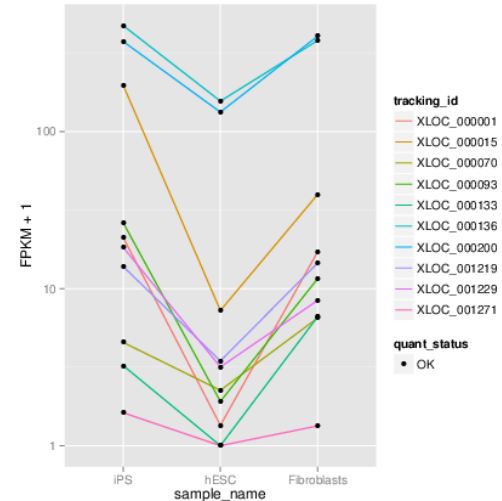


"counts plot"

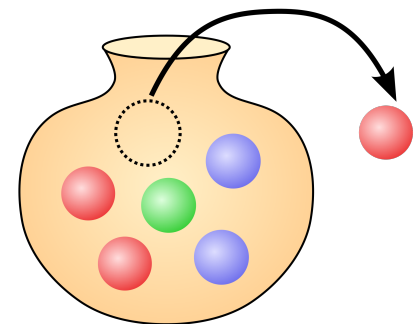


## 2. FPKM/TPM vs counts

- FPKM: fragments per kilobase per million mapped reads
- TPM: transcripts per million
- FPKM/TPM  $\propto$  gene expression  
**comparable across genes**
- Counts have extra information:  
**useful for statistical modeling**



cummeRbund



# mRNAs to RNA-seq fragments

colors: different genes



$K_{ij}$  = count of fragments aligned to gene  $i$ , sample  $j$

is proportional to:

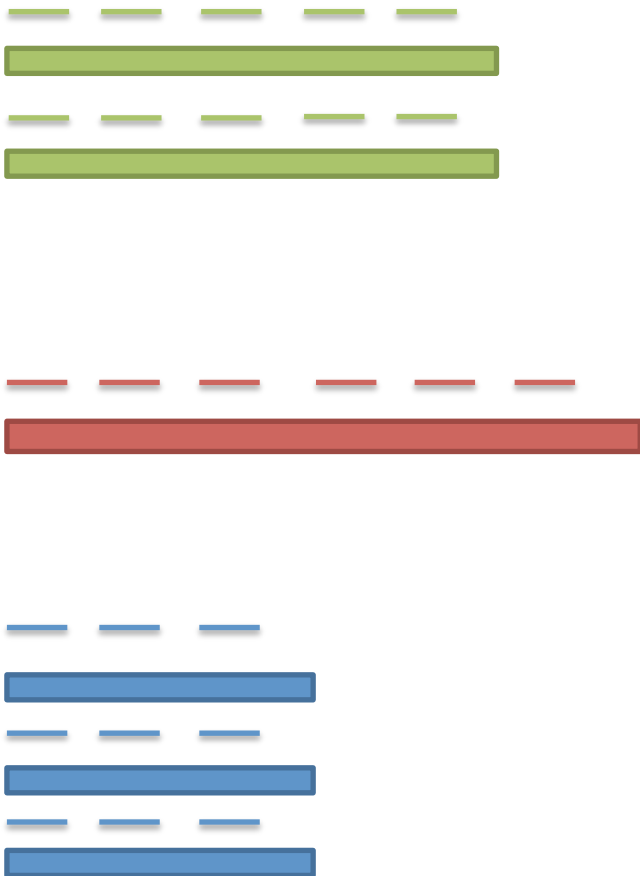
- expression of RNA
- length of gene
- sequencing depth
- lib. prep. factors (PCR)
- in silico factors (alignment)
- ...



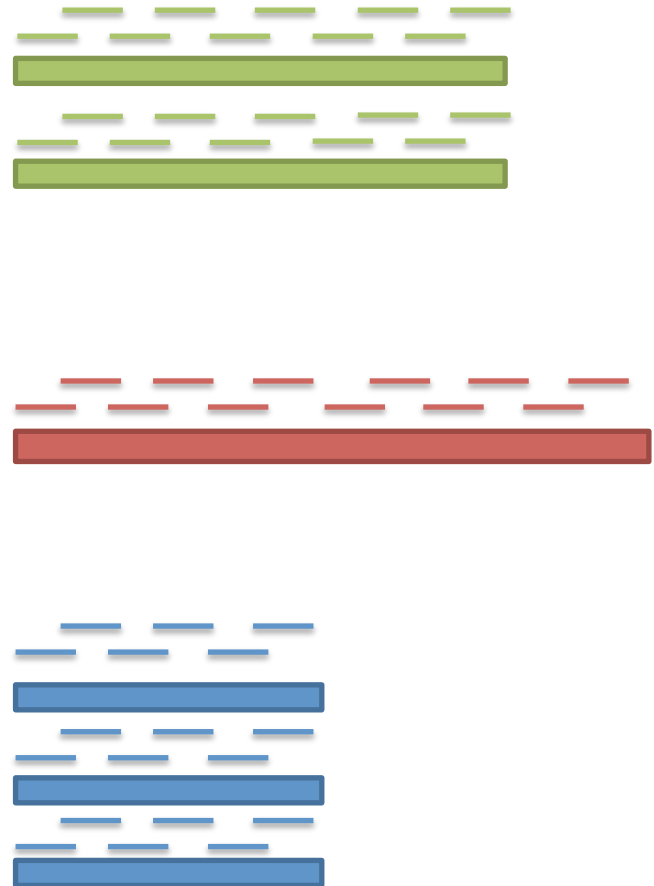


# Sequencing depth

sample 1

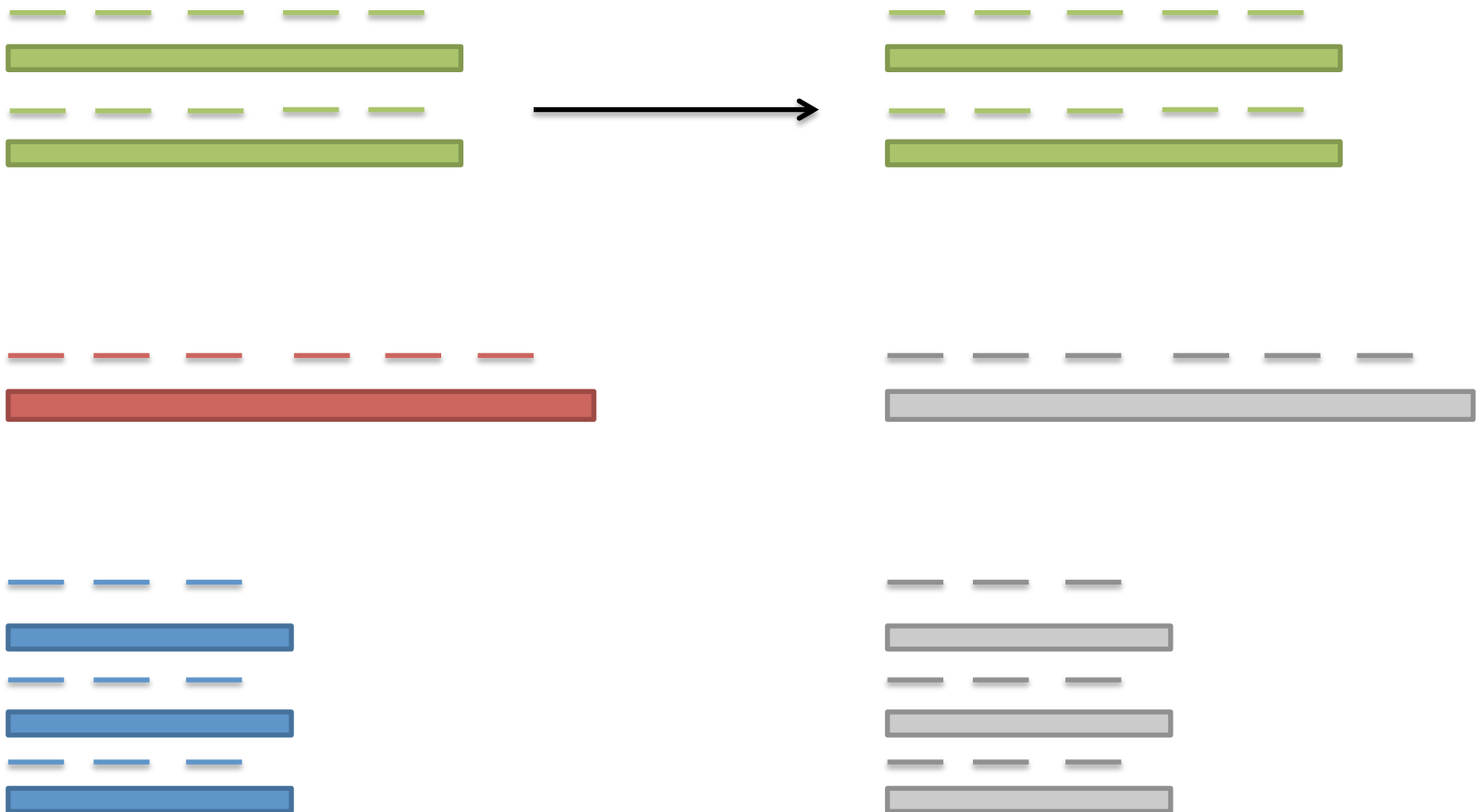


sample 2



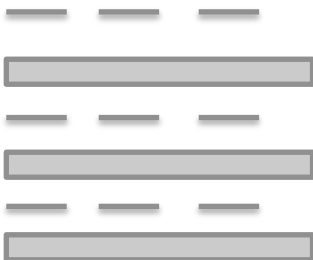
# Variance of counts

Consider one gene:

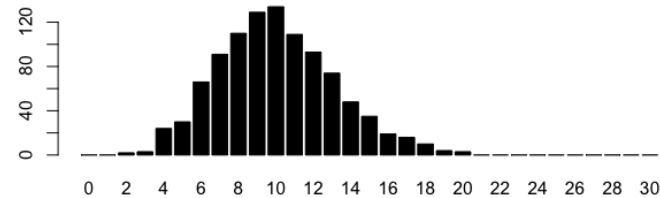


# Variance of counts

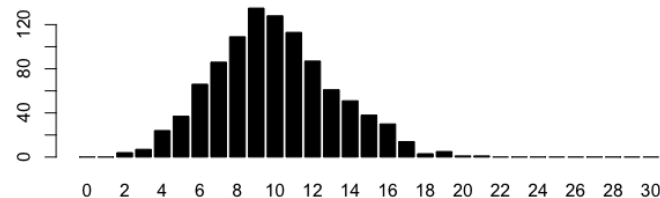
Consider one gene:



- Binomial sampling distribution

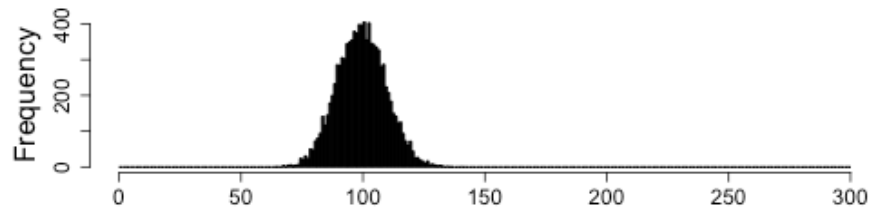


- With millions of reads & small proportion for each gene  
→ Poisson sampling distribution

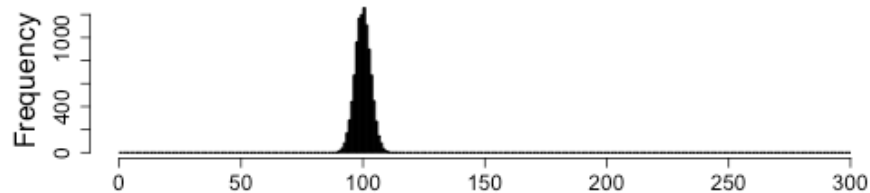


# Raw counts vs. normalized counts

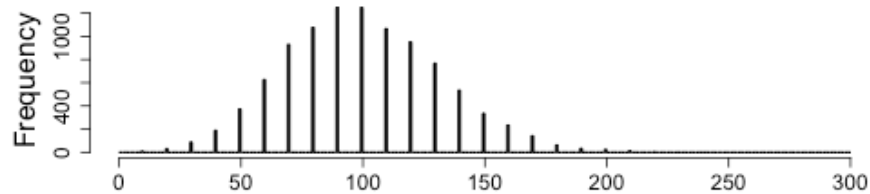
Raw count with mean of 100  
Poisson sampling, so  $SD=10$



Raw count mean = 1000  
Scaled by  $1/10$   
 $SD = ?$



Raw count mean = 10  
Scaled by 10  
 $SD = ?$



# Raw counts vs normalized counts

raw count for gene  $i$ , sample  $j$

normalization factor

$\propto$  gene expression

$$K_{ij} \sim \mathcal{L}(\mu_{ij} = s_{ij}q_{ij})$$

statistical inference "for free"  
edgeR, DESeq2

$$\frac{K_{ij}}{s_{ij}} \sim \mathcal{L}(\mu_{ij} = q_{ij})$$

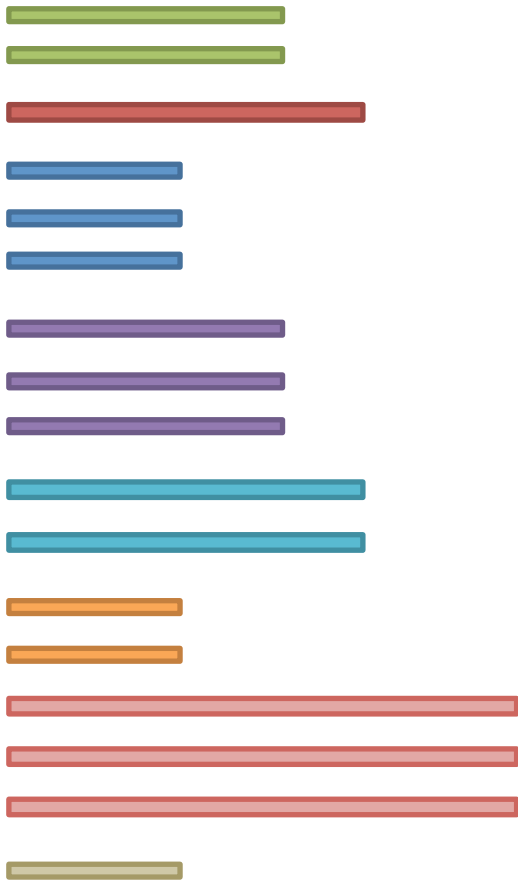
can be made to work with extra modeling  
e.g. limma-voom

some distribution

mean parameter

# Biological replicates

If the proportions of mRNA stays exactly constant ("technical replicate") we can expect Poisson dist.



But realistically, biological variation across sample units is expected

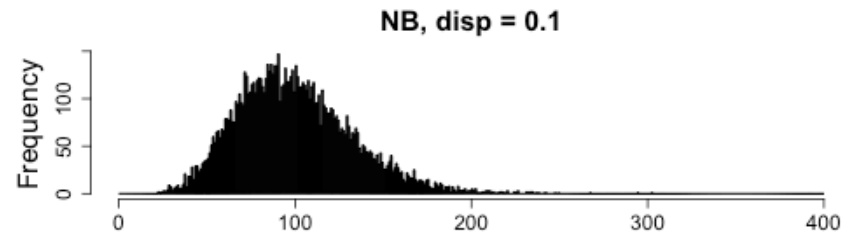
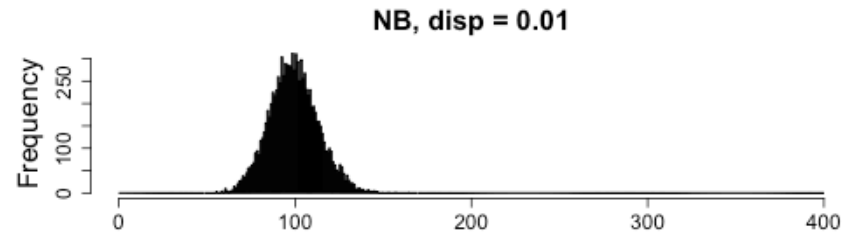
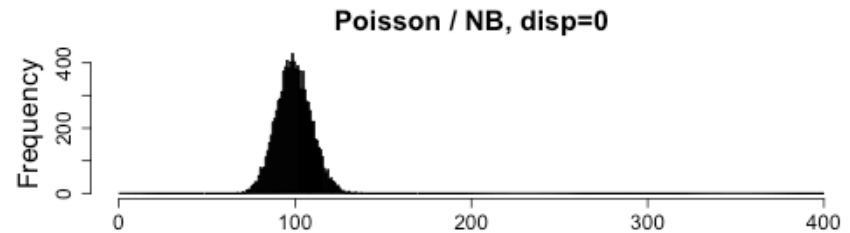


# Biological replicates

Biological variation for the abundance of a given gene produces "over-dispersion" relative to the Poisson dist.



Negative Binomial =  
Poisson with a varying mean

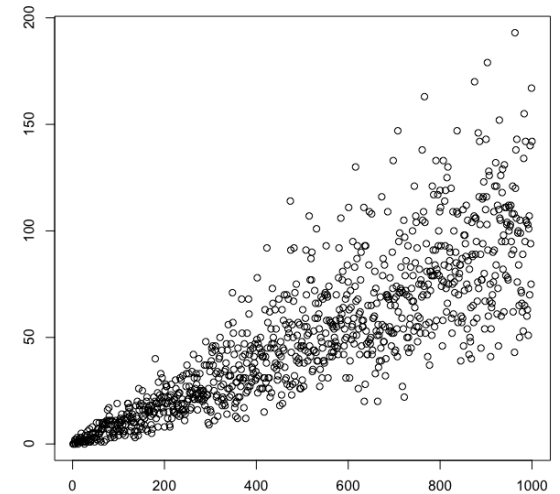


# Dispersion parameter

$$\text{Var}(K_{ij}) = \mu_{ij} + \alpha_i \mu_{ij}^2$$

Poisson part:  
sampling fragments

Extra variation  
due to biological variance



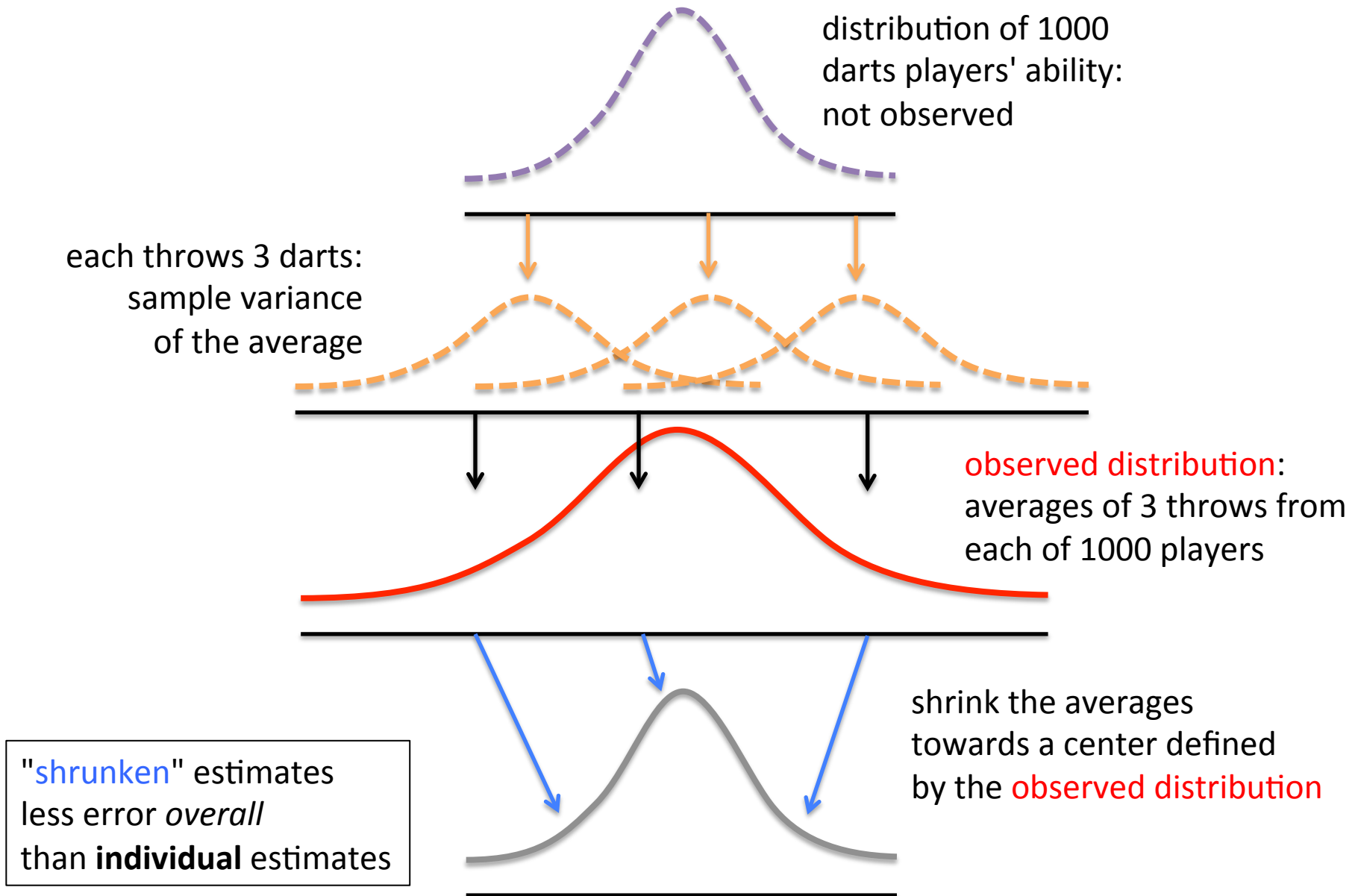
for large counts:  $\sqrt{\alpha_i} \approx \frac{\sigma}{\mu} \equiv CV$  (coefficient of variation)

disp = 0.01 → CV 10%

disp = 0.25 → CV 50%



# 3. Shrinkage estimation



# Shrinkage estimation

population  
distribution

dashed = unobserved

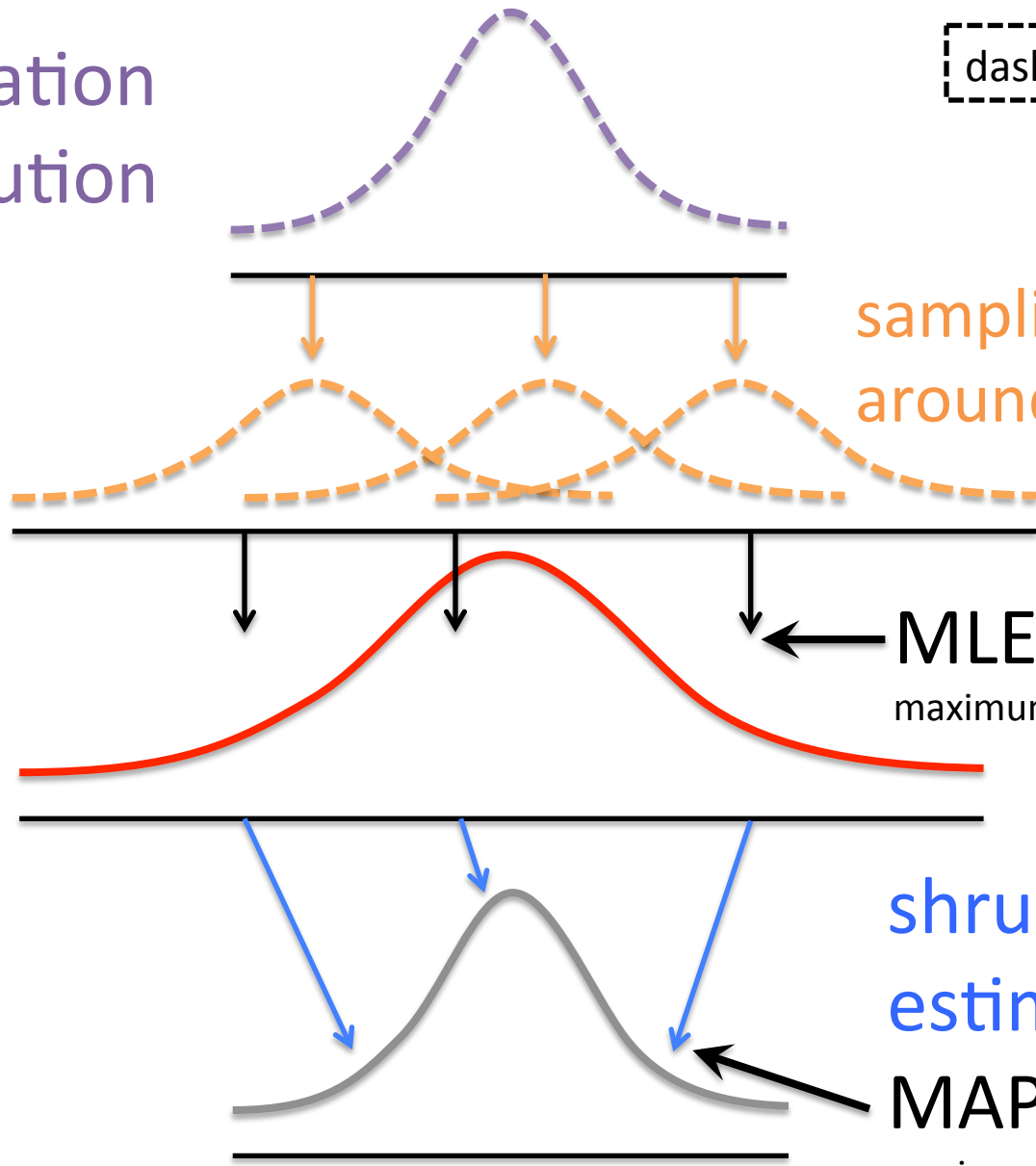
sampling variance  
around true ability

empirical  
distribution

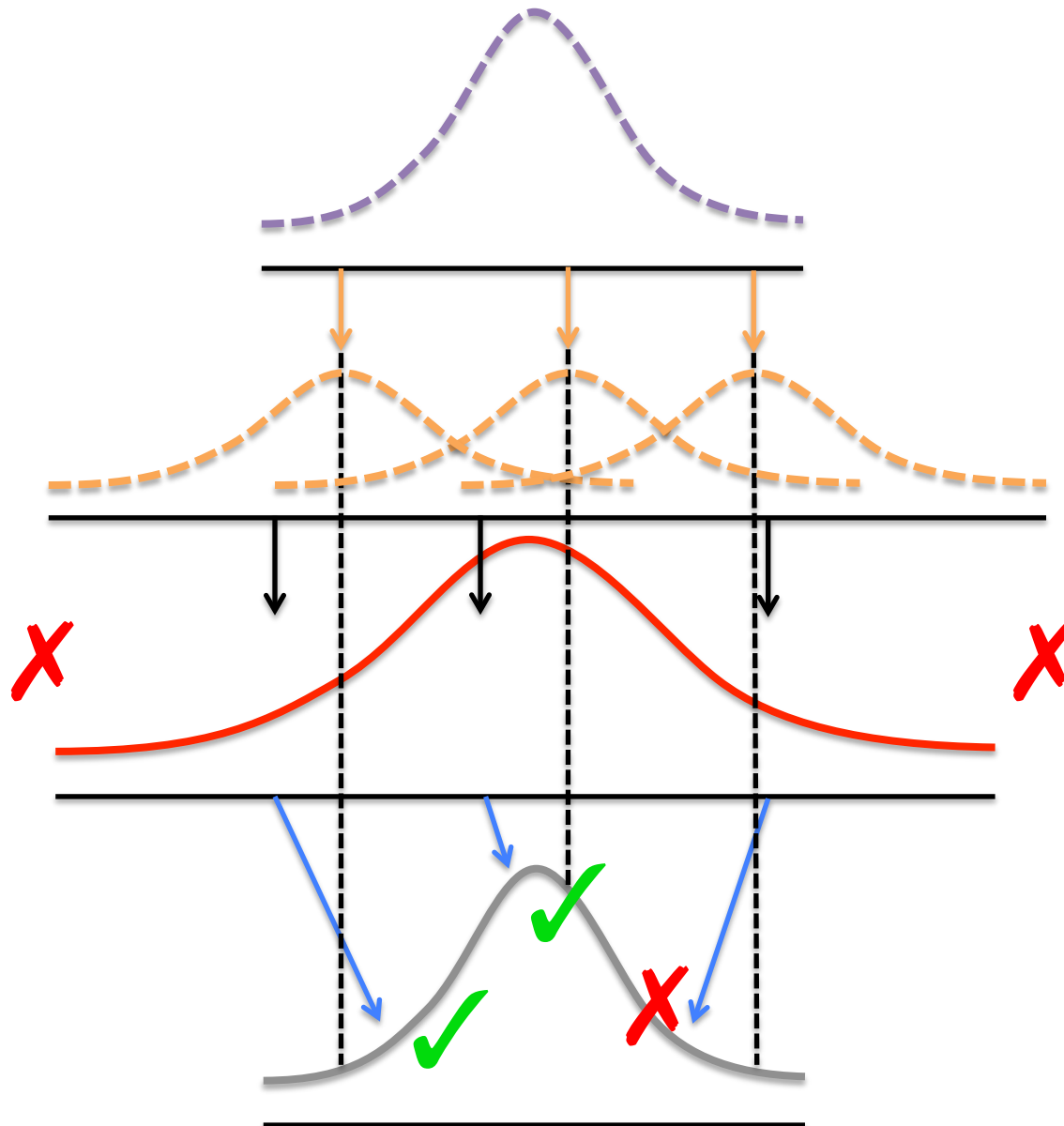
the center defines  
the prior mean

MLE  
maximum likelihood estimates

shrunk  
estimates or  
MAP  
maximum a posteriori



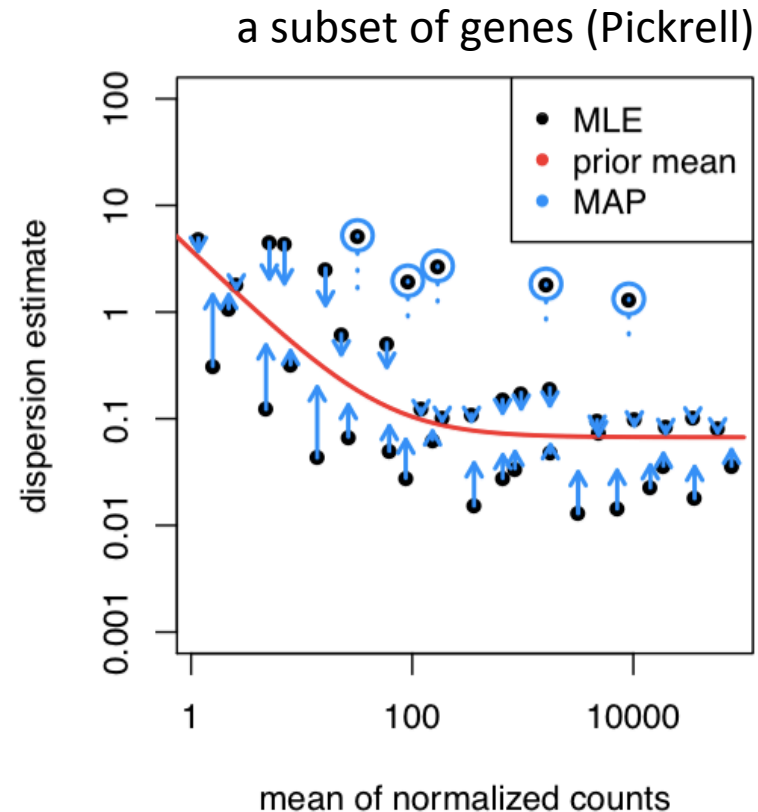
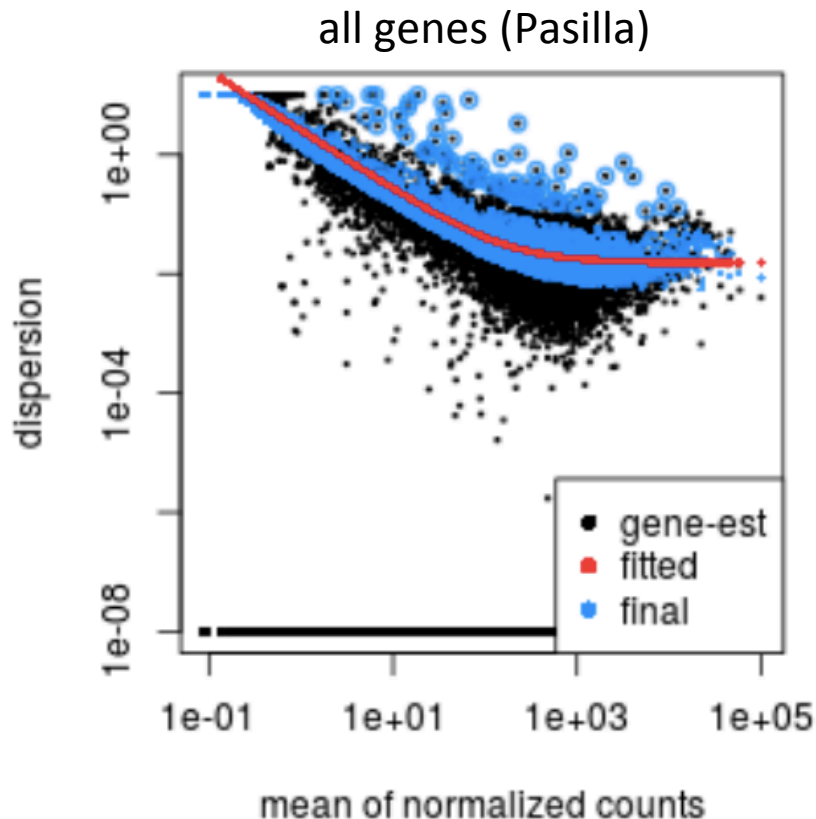
# Shrinkage estimation



# Shrinkage estimators in genomics

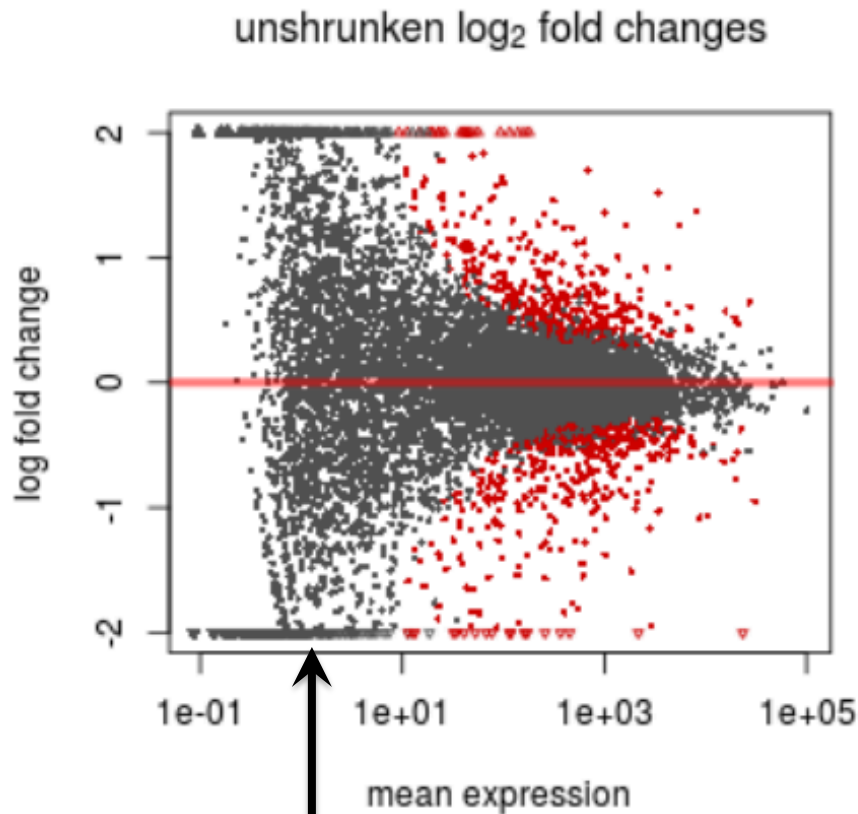
- Lönnstedt and Speed 2002: microarray
- Smyth 2004: limma for microarray
- Robinson and Smyth 2007:  
edgeR for SAGE and then applied to RNA-seq
- Many adaptations: DSS and DESeq2 are a similar approach, data-driven strength of shrinkage

# Shrinkage of dispersion for RNA-seq

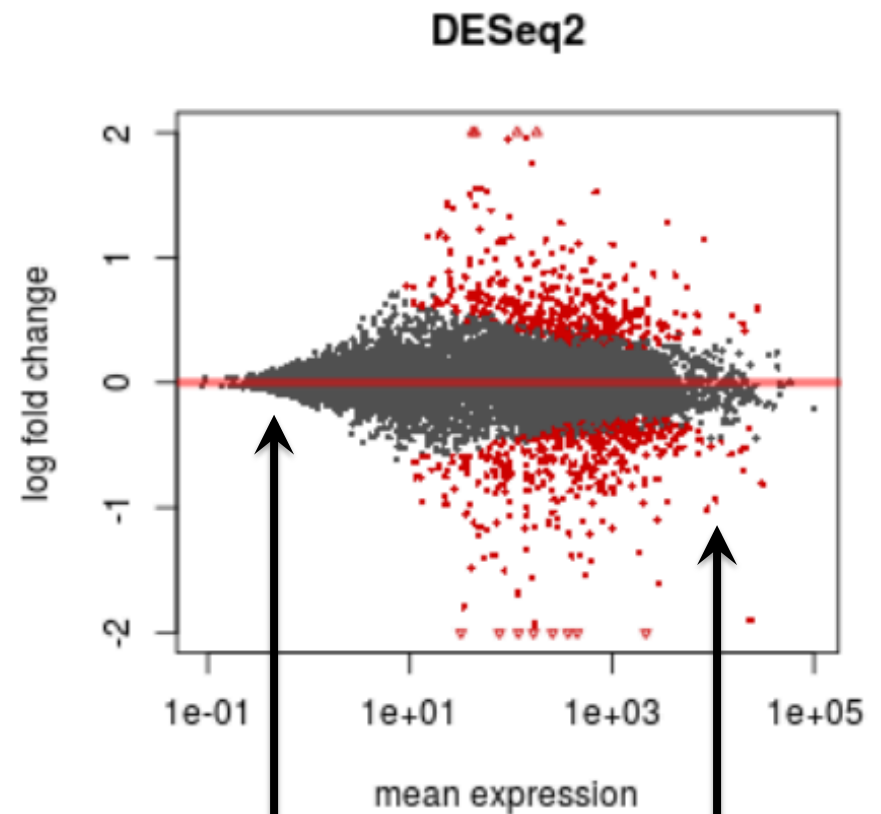


1. **Gene estimate** = maximum likelihood estimate (MLE)
2. **Fitted dispersion trend** = the mean of the prior
3. **Final estimate** = maximum a posteriori (MAP)

# Shrinkage of fold changes for RNA-seq



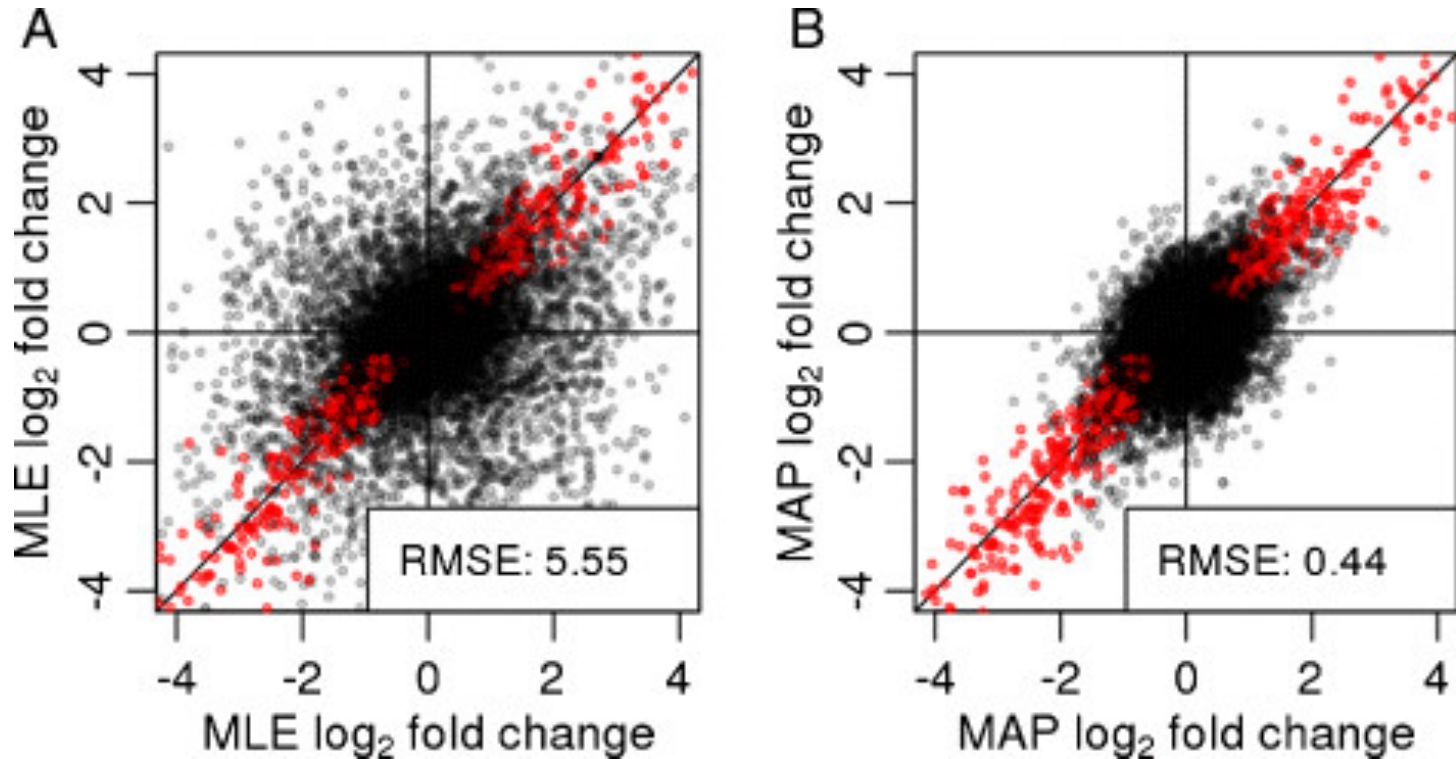
noisy estimates due to low counts  
large FDR from the statistical model,  
but we shouldn't trust the estimate itself



shrinkage is not equal.  
strong moderation for low  
information genes: low counts

almost no  
shrinkage

# Why shrink fold changes?



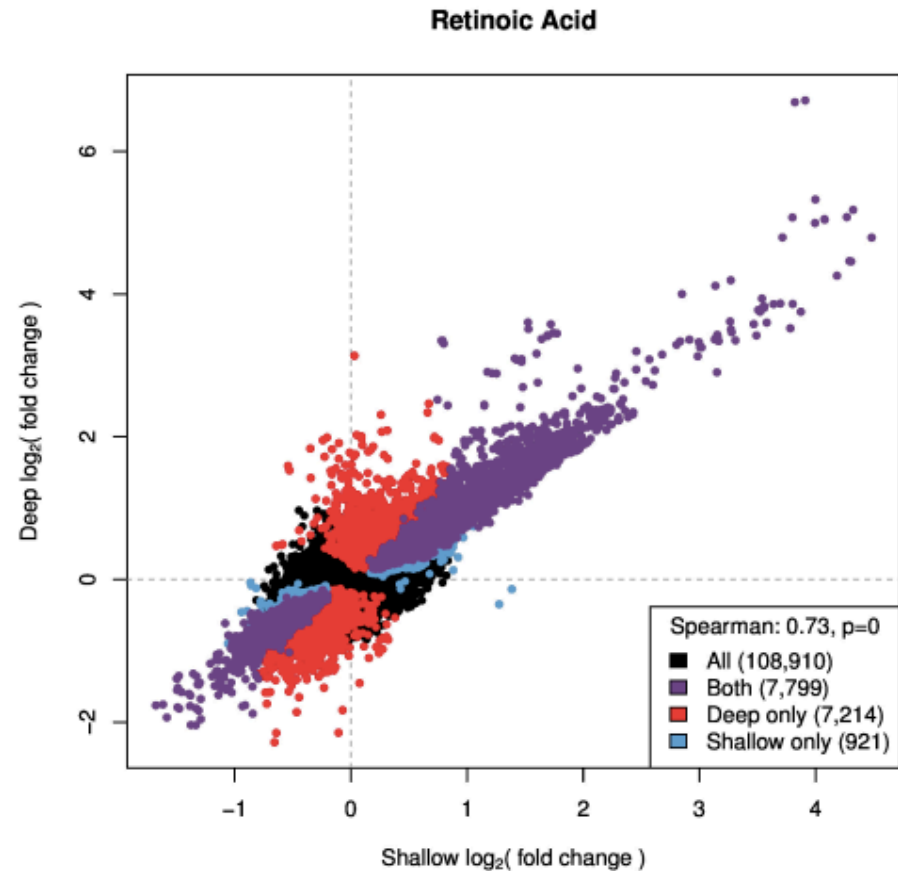
Split a dataset into two equal parts, compare LFC

# Why shrink fold changes?

Comparison of log fold changes across two experiments.

"A new two-step high-throughput approach:

1. gene expression screening of a large number of conditions
2. deep sequencing of the most relevant conditions"



G. A. Moyerbrailean et al. "A high-throughput RNA-seq approach to profile transcriptional responses" <http://dx.doi.org/10.1101/018416>



# Two paths in RNA-seq analysis

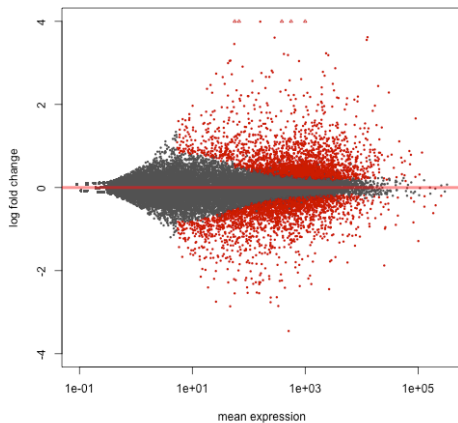
Count matrix

## Differential expression

testing, p-values, FDR

DESeq( )  
results( ) } DESeq2

glmLRT( )  
topTags( ) } edgeR

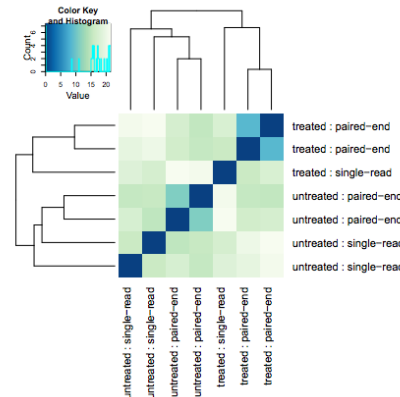


## Transformations and Exploratory Data Analysis (EDA)

clustering, heatmaps,  
sample-sample distances

DESeq2 { vst( ), rlog( ), plotPCA( )

edgeR { cpm( ), plotMDS( )

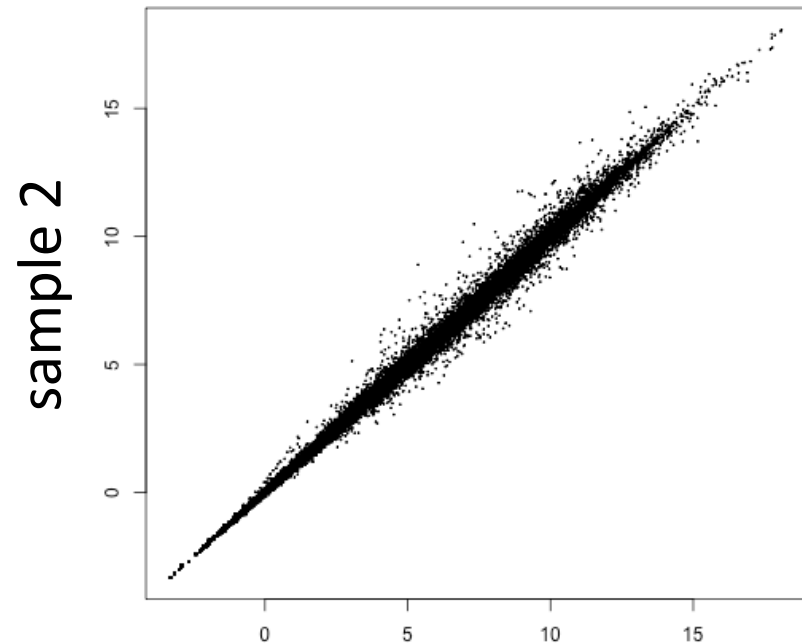
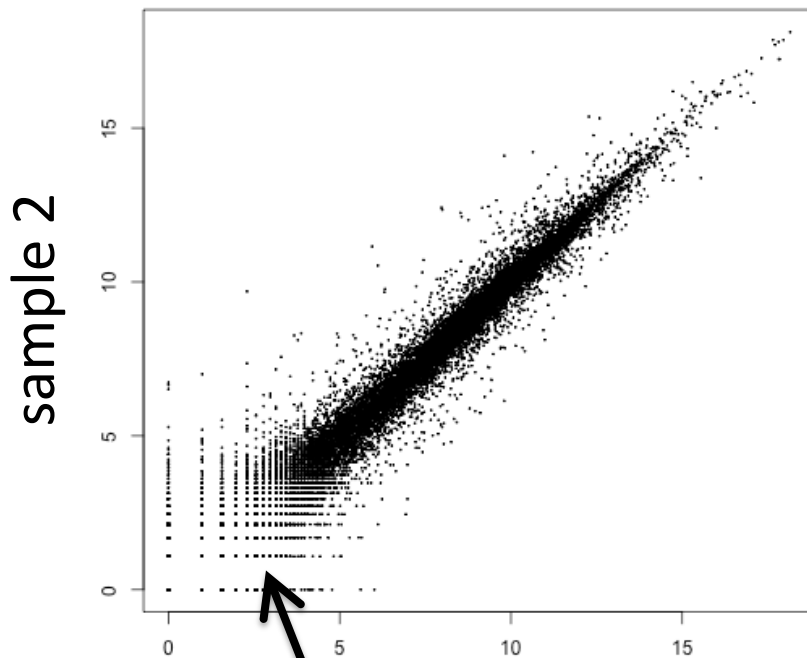


# Regularized logarithm, "rlog"

similar idea as fold change shrinkage,  
now sample-to-sample fold changes

$\log_2(x + 1)$

"rlog"



sample 1

sample 1

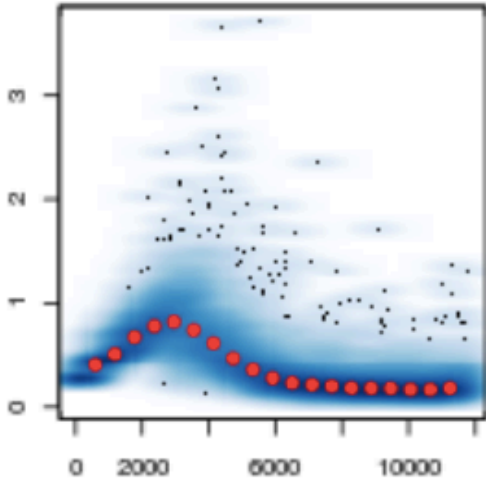
Poisson noise from low counts, when squared  
a big contribution to Euclidean distance between samples

# rlog stabilizes variance along the mean

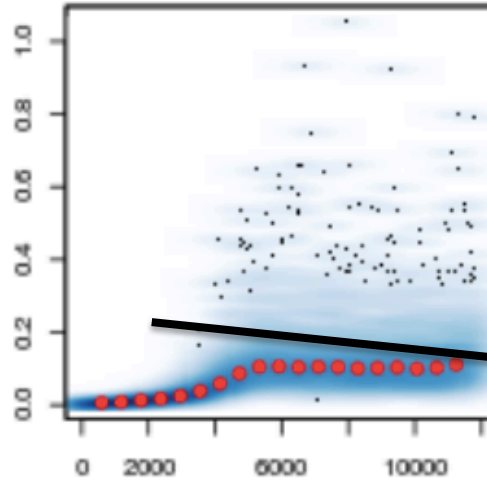
log2(x + 1)

"rlog"

standard deviation



standard deviation

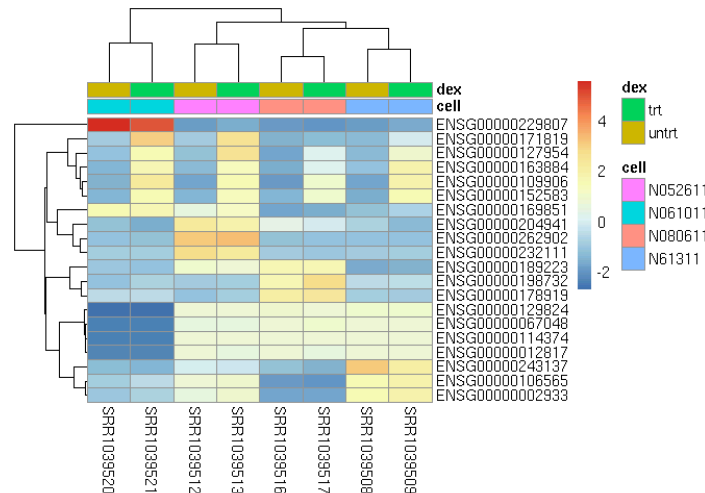
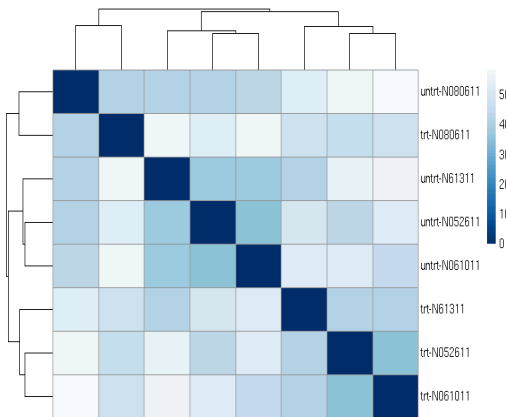


corrects *systematic* dependencies, doesn't force all variances equal.

improving distances, clustering, visualizations

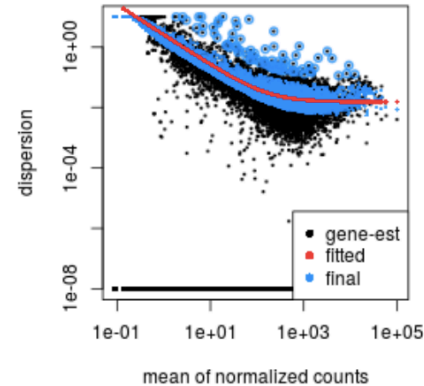
rank( mean )

rank( mean )



# Also in DESeq2: VST

- *Variance stabilizing transformation*: calculate the dependence of variance on the mean (using the **dispersion trend**)
- Closed-form expression  $f(x)$  for stabilizing
- `vst()` is a *faster* implementation



## 4. Testing steps

count matrix (from featureCounts,  
summarizeOverlaps,  
htseq, tximport, etc.)



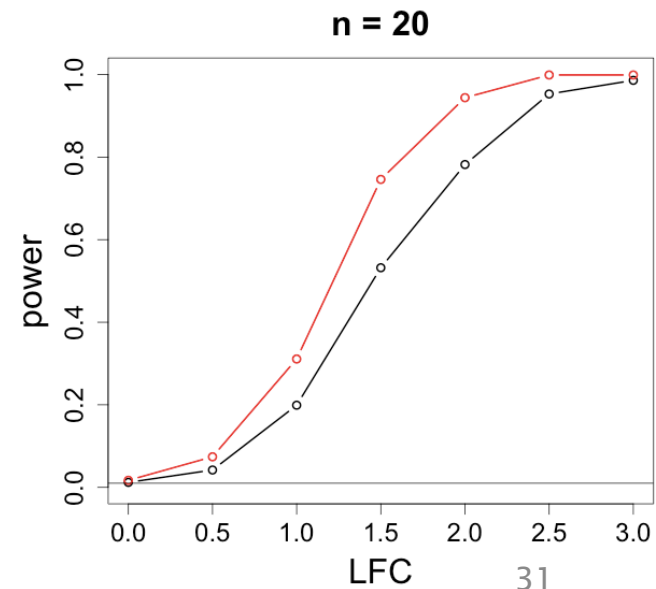
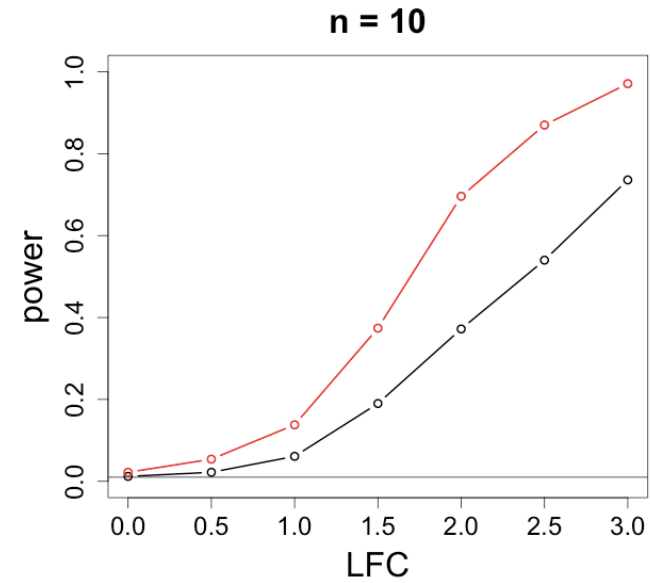
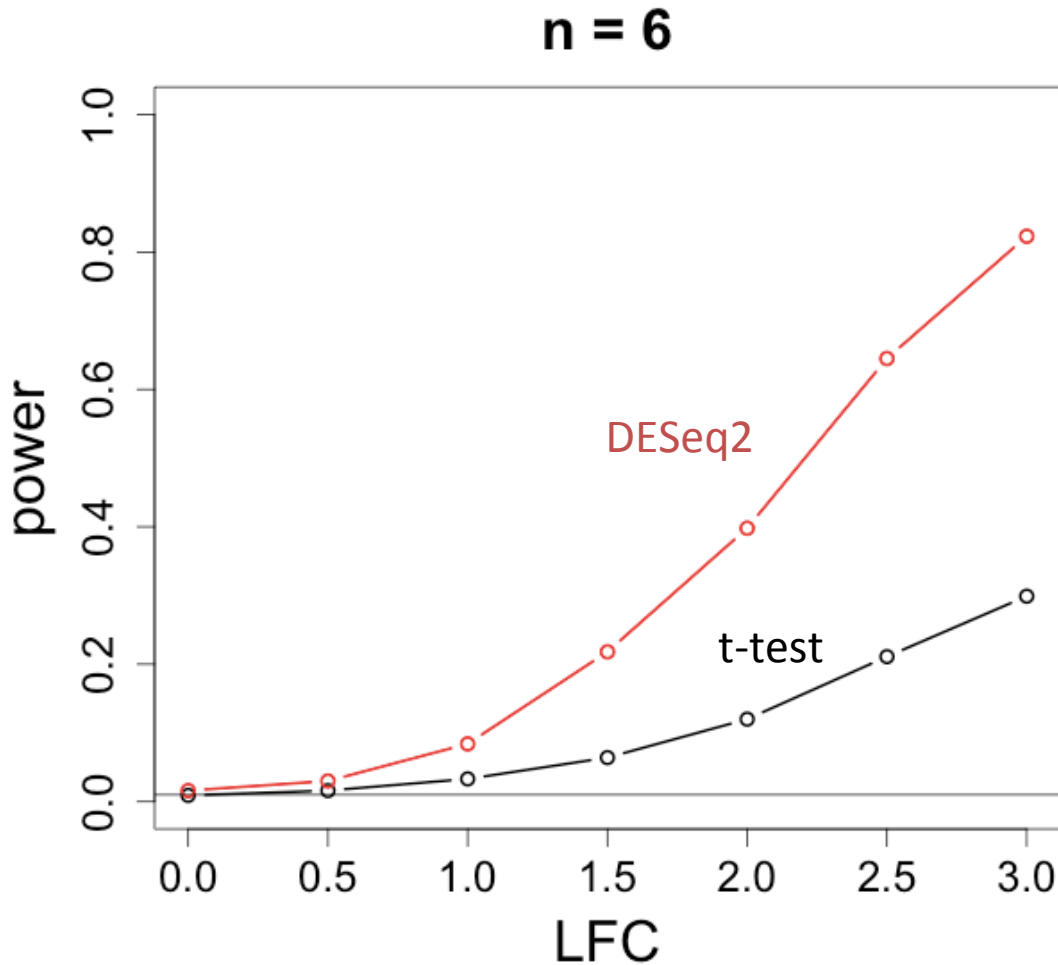
1. size factors (sequencing depth)
2. dispersion (additional variance)
3. *Wald* test or *likelihood ratio* test
4. build a results table

# Statistical power

- False positive rate (1 - specificity):  
under the null (no differences),  
how many called positives?
- Precision (1 - false discovery rate):  
of the positives (called DE),  
how many are true positives?
- Power (sensitivity):  
under the alternative to the null,  
how many called positive?

# Statistical power

Why not use a simple t-test on log normalized counts?



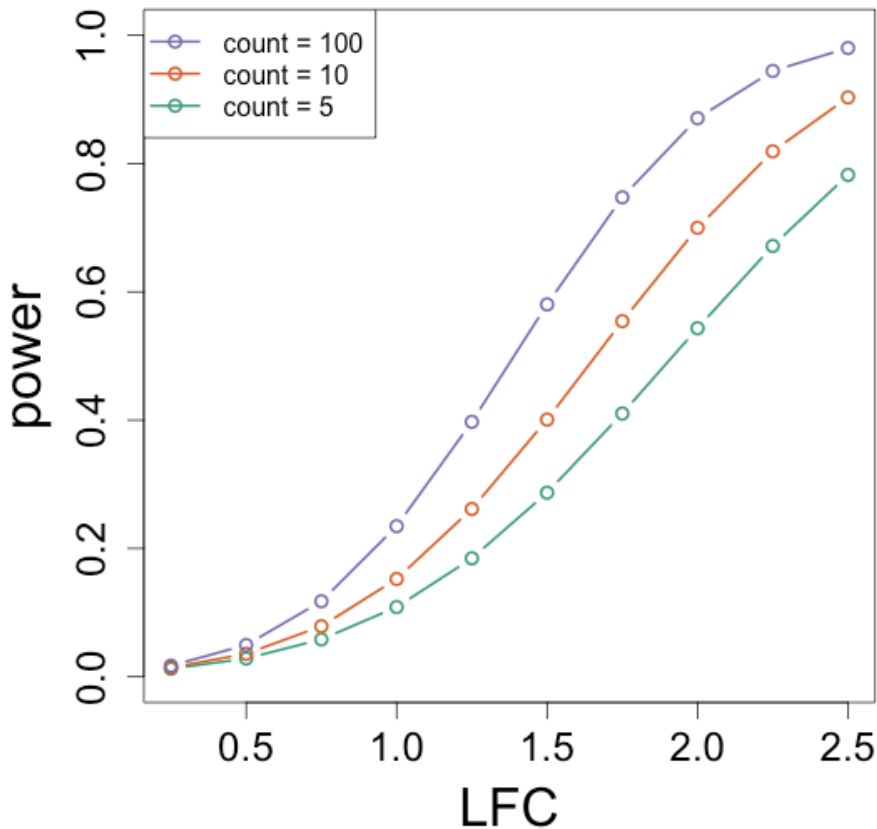
# Factors influencing power

- Range of count
  - Sequencing depth
  - Expression
  - Gene length
- Sample size
- Dispersion
- True fold change



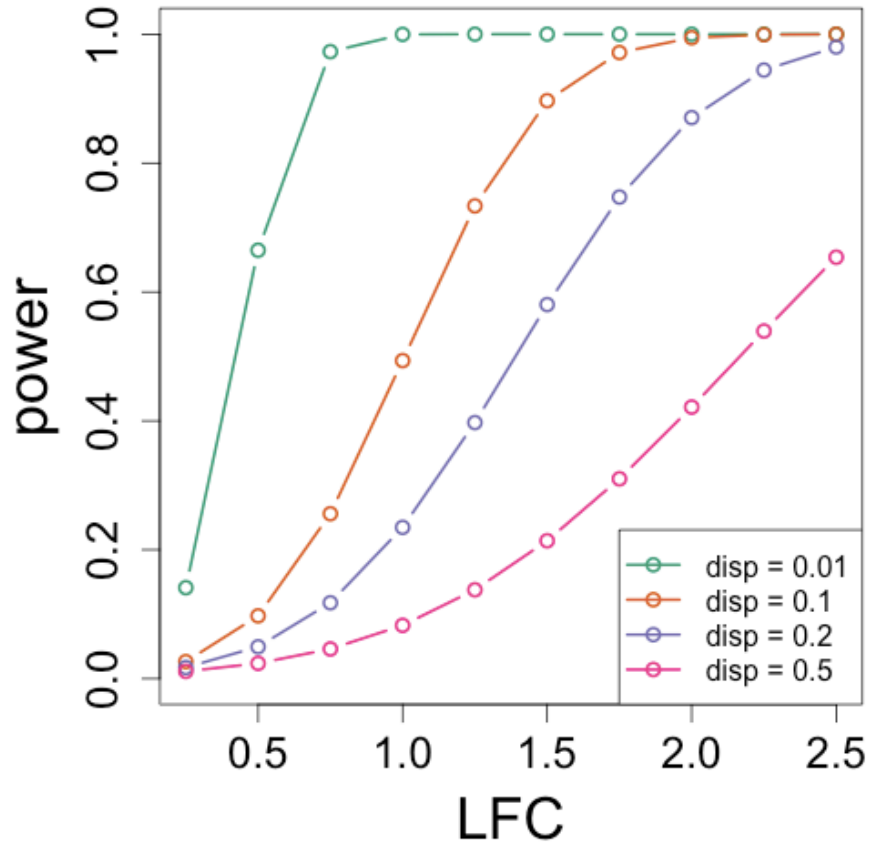
# Bioc pkg: RNASeqPower

n=6, disp=.2, alpha=0.01



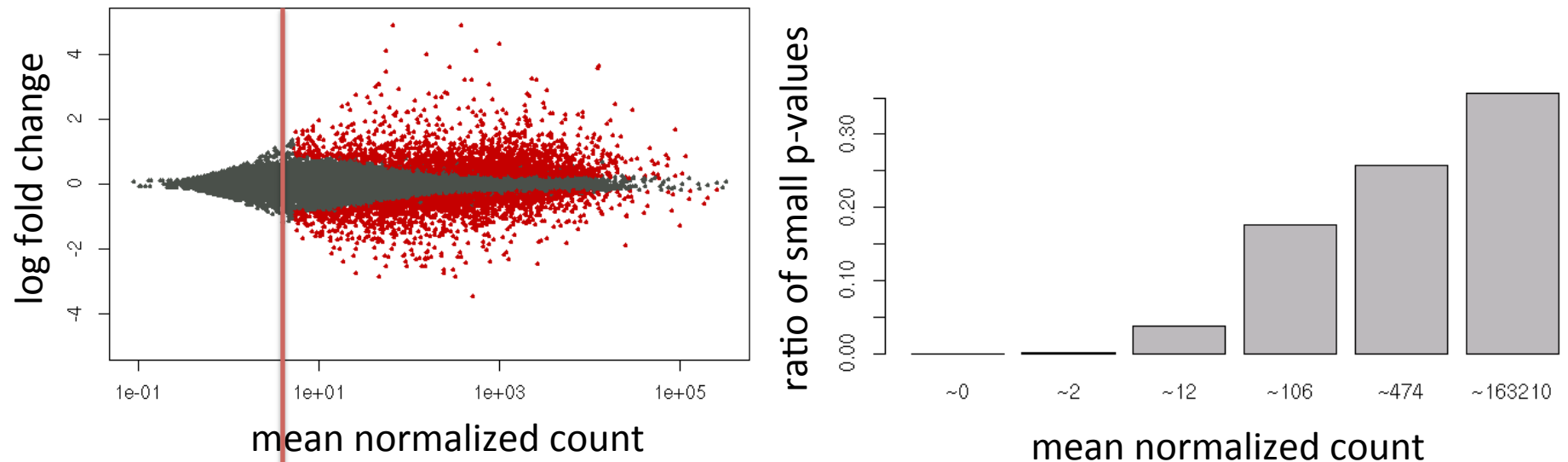
varying the count

n=6, count=100, alpha=0.01



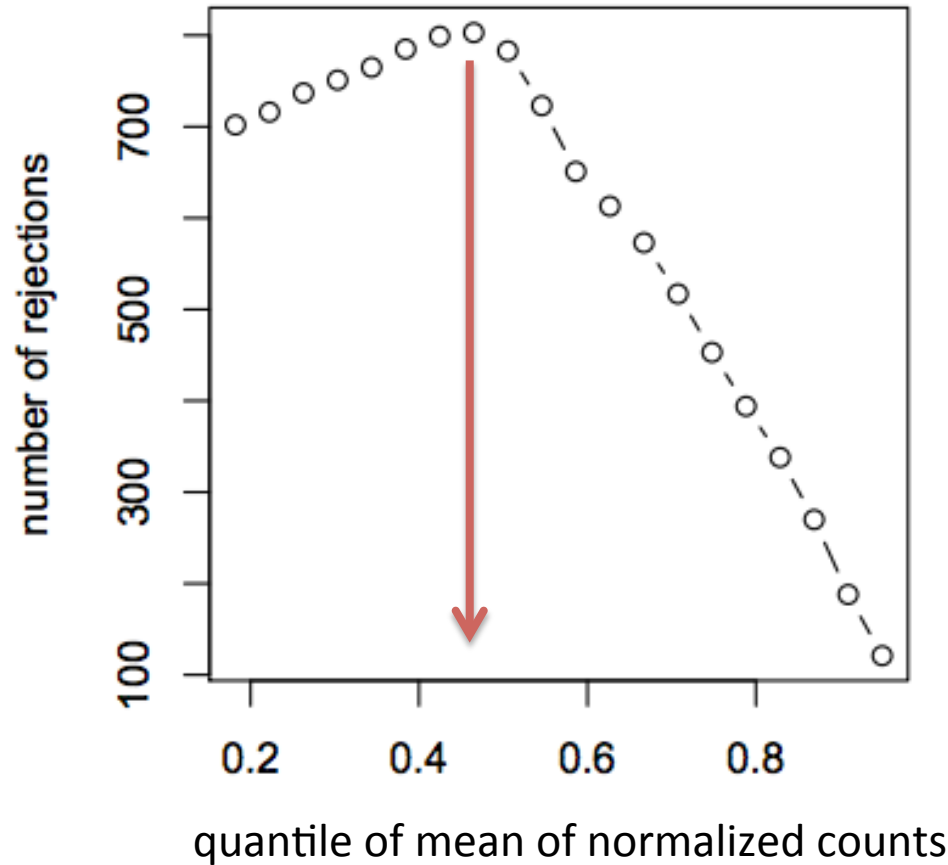
varying the dispersion

# Power depends on range of counts



By excluding some tests, e.g. genes with mean normalized count  $< 5$ , we reduce the penalty on adjusted p-values from multiple test correction.

# Power depends on range of counts



- Filter on a statistic which is:
  - independent of the test statistic under the null
  - correlated under the alternate hypothesis

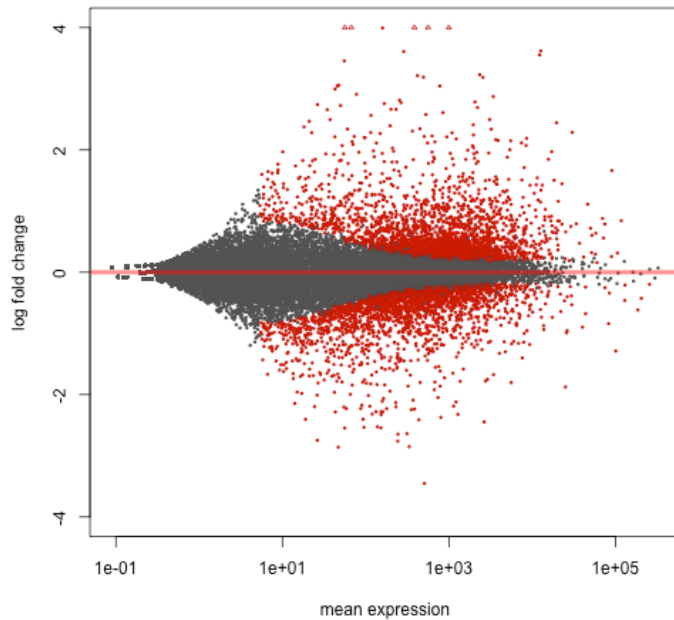
Bourgon, Gentleman and Huber, PNAS 2010.

# Independent Hypothesis Weighting

- Wolfgang will teach later this week...

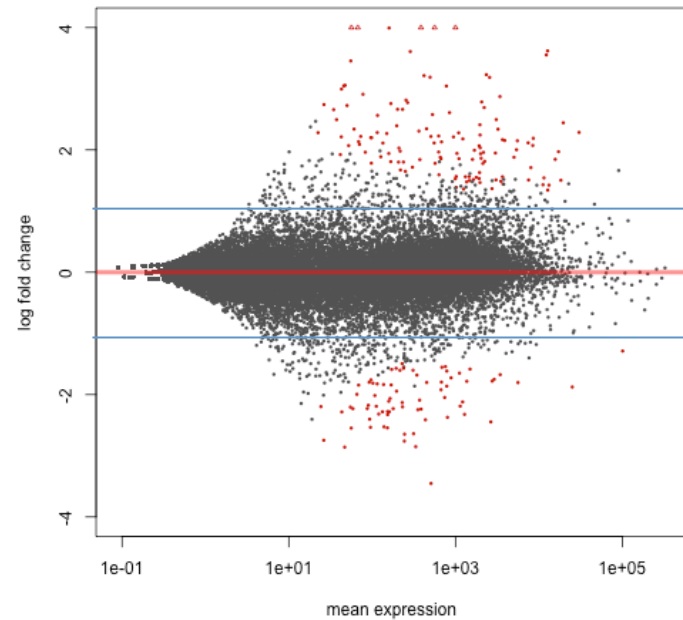
# Testing against a threshold

"We get too many DEGs..."



null hypothesis: fold change = 1

using 'lfcThreshold' in results()



null hypothesis: fold change is  $< 2$  or  $> 1/2$

"For **well-powered experiments**, however, a statistical test against the conventional null hypothesis of zero LFC may report genes with statistically significant changes that are so weak in effect strength that they could be **considered irrelevant or distracting.**"

# Bioconductor help

- Vignettes:

```
> browseVignettes( "DESeq2" )
```

```
> vignette( "DESeq2" )
```

- Type ? then the function name:

```
> ?results
```

# Bioconductor help

results

package:DESeq2

R Documentation

Extract results from a DESeq analysis

Description:

'results' extracts a result table from a DESeq analysis giving base means across samples, log2 fold changes, standard errors, test statistics, p-values and adjusted p-values; 'resultsNames' returns the names of the estimated effects (coefficients) of the model; 'removeResults' returns a 'DESeqDataSet' object with results columns removed.

Usage:

```
results(object, contrast, name, lfcThreshold = 0,
        altHypothesis = c("greaterAbs", "lessAbs", "greater", "less"),
        listValues = c(1, -1), cooksCutoff, independentFiltering = TRUE,
        alpha = 0.1, filter, theta, pAdjustMethod = "BH",
        format = c("DataFrame", "GRanges", "GRangesList"), test, addMLE = FALSE,
        tidy = FALSE, parallel = FALSE, BPPARAM = bpparam())
```

...

Arguments:

**object:** a DESeqDataSet, on which one of the following functions has already been called: 'DESeq', 'nbinomWaldTest', or 'nbinomLRT'

**contrast:** this argument specifies what comparison to extract from the 'object' to build a results table. one of either:

- a character vector with exactly three elements: the name of a factor in the design formula, the name of the numerator level for the fold change, and the name of the denominator level for the fold change (simplest case)

# Bioconductor help

## Value:

For 'results': a 'DESeqResults' object, which is a simple subclass of DataFrame. This object contains the results columns: 'baseMean', 'log2FoldChange', 'lfcSE', 'stat', 'pvalue' and 'padj', and also includes metadata columns of variable information....

...

## References:

Richard Bourgon, Robert Gentleman, Wolfgang Huber: Independent filtering increases detection power for high-throughput experiments. PNAS (2010), <URL: <http://dx.doi.org/10.1073/pnas.0914005107>>

## See Also:

'DESeq'

## Examples:

```
## Example 1: simple two-group comparison  
dds <- makeExampleDESeqDataSet(m=4)
```

...



# Looking up help for objects

```
> class(dds)
[1] "DESeqDataSet"
attr(,"package")
[1] "DESeq2"
```

```
> ?DESeqDataSet
```

```
> help(package="DESeq2", help_type="html")
```

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**Question: remove X and Y chromosome genes in RNA-seq data using DESeq2 pipeline**

I'd like to remove the genes on the X and Y chromosomes from my human RNA-seq data before doing differential analysis using DESeq2. I've looked through the *RNA-seq Workflow* and *DESeq2* manuals but didn't see this as an option. Any help in performing this step and still using the DESeq2 or RNA-seq Workflow pipeline would be much appreciated. Thanks.

0

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It's perfectly valid to do this, but you might have to do some legwork. How did you make the count matrix? If you used summarizeOverlaps, the chromosomes are right at hand (edit: see Martin's comment for GRangesList)

```
seqnames (rowData (dds))
```

Or for the most recent release of Bioconductor (3.11):

```
seqnames (rowRanges (dds))
```

Then just subset the dds:

```
dds.sub <- dds [ ! seqnames (rowRanges (dds)) %in% c ("chrX", "chrY"), ]
```

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SummarizedExperiment (and hence DESeqDataSet) 'knows' that it has ranges as rows, so seqnames (dds) is enough. For a GRangesList, I guess the assumption is that all element ranges have the same chromosome, so

```
dds [ all ( ! seqnames (dds) %in% c ("chrX", "chrY")), ]
```

Unpacking a bit, seqnames () on a GRangesList returns an RleList of seqnames, one element of the list for each element of the GRangesList. %in% returns an RleList of logical values, again retaining the geometry. And all () is applied to each element of the list, returning a logical vector of the same length as the original GRangesList.

ADD REPLY • link • edit • moderate • modified 2 days ago • written 2 days ago by Martin Morgan • 15k

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Hello all, I am working on CEL-Seq data, which is a protocol that allows working with small star...
- GAGE/Pathview RNA-Seq Data Pathway and Gene-set Analysis Workflows  
We just added a new vignette to the gage package (2.11.4): "RNA-Seq Data Pathway and Gene-set Ana...
- Update in the gage RNA-seq pathway analysis joint workflow  
Dear gage users: There were some typos in page 11 of the "RNA-seq Data Pathway and Gene-set Analy...
- questions about gage  
First, You may want to read a few similar questions on GAGE, which explain how GAGE works: http://...
- pre-ranked GSEA within R? = Best DESeq2/limma-voom metric?  
Hi Jose, Doing a one-sample t-test of the logFCs for a gene set is very similar to the test prop...
- Gene filtering for RNA-seq data  
I am writing to inquire about independent filtering for my large RNA-seq dataset. I have around...
- DESeq2 with GAGE  
Hi Aris, You mapped your reads to Ensembl genes instead of Entrez Gene. Therefore, you gene ID is...
- DE analysis with reference transcriptome  
Dear Bioconductor users, I'm working on a novel organism (no genome, only a reference transcript...
- Testing for no change in RNA-seq data?  
Hi all, So, we have several great

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voting →

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- always provide:
- biological question
  - all code, any errors/warnings
  - sessionInfo()